

Summary of Changes in the September Draft of the Trauma Rules

ARTICLE 13. TRAUMA CENTERS

R9-25-1301. Definitions

Removes the following definitions:

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|---|---|
| • ACS | using nat'l verification organization instead per statute |
| • ACS site visit | no longer using the term |
| • Administrative completeness time period | designation is not a license; term not used |
| • ATLS | now defining "trauma critical care course" |
| • Available | dictionary definition |
| • Chief administrative officer | used once; defined in place |
| • CME | define/describe in Exhibit I/new Table 13.1 |
| • Comply with | dictionary definition |
| • CT | define/describe in place |
| • Current | dictionary definition |
| • CVP | define/describe in Exhibit I/new Table 13.1 |
| • Department | defined in ARS § 36-2201 |
| • EMS | defined in R9-25-101 |
| • Health care institution | defined in R9-25-101 |
| • Hospital | defined in R9-25-101 |
| • ICU | define/describe in Exhibit I/new Table 13.1 |
| • In compliance with | dictionary definition |
| • In-house | only used in the Table and defined/described there |
| • ISS | define/describe in Exhibit I/new Table 13.1 |
| • Major resuscitation | no longer using the term |
| • Meet the ACS standards | no longer using the term |
| • Meet the state standards | no longer using the term as defined |
| • Person | defined in R9-25-101 |
| • PGY | define/describe in Exhibit I/new Table 13.1 |
| • Self-designated Level I trauma facility | no longer using the term |
| • SICU | define/describe in Exhibit I/new Table 13.1 |
| • Substantive review time period | designation is not a license; term not used |
| • Transfer agreement | no longer using the term |
| • Valid | dictionary definition |
| • Working day | replaced with business day |

Adds the following definitions:

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|--------------------------------------|--|
| • Business day | had been an undefined term used in Article 14 |
| • Emergency department | had been an undefined term |
| • ICD-code | more generic term than ICD-9 or ICD-10 |
| • Medical services | had been an undefined term; changed for federal/tribal |
| • National verification organization | term defined in ARS § 3-2225 |
| • Nursing services | had been an undefined term; changed for federal/tribal |
| • Physician | more general to accommodate federal/tribal |

- Substantial compliance new term from HCI licensure
- Trauma care had been an undefined term
- Trauma critical care course replaces ATLS
- Trauma facility had been an undefined term
- Trauma service had been an undefined term
- Trauma team had been an undefined term
- Trauma team activation had been an undefined term

Revises the following definitions:

- Designation made definition clearer
- On-call made definition clearer
- Personnel member made more consistent with HCI licensing
- Verification removed ACS

R9-25-1302. Eligibility for Designation

Updates and clarifies language

Substitutes “national verification organization” for “ACS”

Allows assessment by the Department per ARS § 36-2225

Bases non-verification assessment on meeting standards specified in the rules

Adds a time period before designation during which the health care institution was assessed

Clarifies eligibility to retain designation

R9-25-1303. Application and Designation Process

Renumbered from R9-25-1304 Initial Application and Designation Process

Contains application requirements for both initial designation and renewal since they were the same

Updates and clarifies language

Since ACS may not be the only national verification organization providing verification, requires the name of the national verification organization and name and contact information for its representative

Since assessment of meeting standards in the rules may be performed by the Department as well as a national verification organization, requires the applicant to state:

- Who will be assessing the health care institution’s compliance with the standards in the rules
- If a national verification organization, the name of the national verification organization and name and contact information for its representative
- If the Department, the date the health care institution will be ready for an assessment

Adds a requirement for providing the name of the applicant’s trauma program manager

Changes the attestation to clarify that the owner must not only know the applicable requirements but will comply with them

Removes the list of who can sign an application since that information is now in R9-25-102

Removes the requirement for a health care institution licensed by the Department to provide documentation of licensure since that information is available online

Adds a requirement for a health care institution not licensed by the Department to provide documentation that the health care institution is operating as a hospital or an outpatient treatment center providing emergency services under federal or tribal law as an administrative unit of the U.S. government or a sovereign tribal nation

Adds a requirement for submission of additional information to the Department if the Department will be assessing compliance **[content to be determined after review of the standards]**

Includes information for processing an application that had been in R9-25-1315 or Table 1

Includes information on the term of designation from R9-25-1307

Clarifies when the Department will issue a designation and when the Department will decline to issue a designation

Includes requirements for notification and for appeal

~~R9-25-1305. Eligibility for Provisional Designation; Provisional Designation Process~~

Replaces provisional designation with regular designation for a one-year or three-year period, based on compliance with requirements in rule

~~R9-25-1306. Designation Renewal Process~~

Moves applications for renewal of designation into the new R9-25-1303

~~R9-25-1307. Term of Designation~~

Moves terms of designation into the new R9-25-1303

R9-25-1304. Changes Affecting Designation Status

Renumbered from R9-25-1308

Updates and clarifies language

Lengthens the time period during which an owner is required to notify the Department of a change in the trauma center's name from "at least 30 days before the date of the change" to "no later than 60 days after the date of a change"

Adds a requirement for providing the name of a new trauma program manager or trauma medical director

Clarifies what the Department will do upon notification of each specified change

R9-25-1305. Modification of Designation

Renumbered from R9-25-1309

Updates and clarifies language

Changes the attestation to clarify that the owner must not only know the applicable requirements but will comply with them

Includes information for processing an application that had been in R9-25-1315 or Table 1

Changes the term of modified designation from the remainder of the original designation to a one-year period

Moves provisions for investigation of a trauma center with a modified designation into the new R9-25-1306

Moves provisions for revoking/designating a modified designation into the new R9-25-1307

~~R9-25-1310. On-Site Survey for Designation as a Level IV Trauma Center Based on Meeting the State Standards~~

Moves applicable requirements into the new R9-25-1306

~~R9-25-1311. Investigations~~

Moves applicable requirements into the new R9-25-1306

R9-25-1306. Inspections

Combines redundant requirements from the current R9-25-1310 and R9-25-1311

Updates and clarifies language

Uses the term "inspection" rather than "on-site survey" and "investigation"

Moves requirements related to initial designation, designation renewal, and modification of designation into one Section

Removes the citation of documents privileged under A.R.S. § 36-2403 from the rule since the statute was changed in 2015 to allow sharing of quality assurance information with entities like the Department

Provides notice that the Department may conduct an announced inspection as part of monitoring compliance with a corrective action plan

Adds that the Department may conduct an announced inspection of a randomly selected trauma center

Provides for an announced or unannounced inspection if the Department has reason to believe that a trauma center is not complying with applicable requirements in A.R.S. Title 36, Chapter 21.1 and this Article, similar to the current provision in R9-25-1311(A)

R9-25-1307. Designation and Dedenomination

Renumbered from R9-25-1312 Denial or Revocation of Designation

Updates and clarifies language

Uses the statutory term “dedenomination” rather than “denial or revocation”

Includes information of processing an application that had been in R9-25-1315 or Table 1, including processing time periods and requirements for notification and for appeal

Removes redundant provisions currently in subsection (A)

Reduces the time period for processing an application

R9-25-1308. Trauma Center Responsibilities

Renumbered from R9-25-1313

Updates and clarifies language

Clarifies that a trauma center is required to comply with a corrective action plan

Clarifies that the Department has access to the trauma center, to personnel members present in the trauma center, and to documents that are requested by the Department and not confidential under A.R.S. Title 36, Chapter 4, Article 4 or 5, within two hours after the Department’s request

Moves into subsection (B) administrative requirements, currently in Exhibit I or its footnotes

Moves into subsection (C) administrative requirements for trauma registry, performance improvement program, continuing education activities, injury prevention program, educational outreach, and, for a Level I trauma center, residency program, ATLS-like course, and research, currently in Exhibit I or its footnotes

Moves into subsection (D) specific requirements for the performance improvement program, currently in Exhibit I or its footnotes

Moves into subsection (E) requirements related to clinical care, currently in Exhibit I or its footnotes

Moves into subsection (F) the tolerance limit for complying with requirements, currently in Exhibit I or its footnotes

Moves into subsection (G) notice about the applicability of time limits for the appearance of a surgeon on the trauma team, currently in Exhibit I or its footnotes

Moves into subsections (H) and (J) requirements for the trauma registry currently in R9-25-1402

In subsection (I), allows trauma centers under the same governing authority to establish a single, centralized trauma registry and submit to the Department consolidated information from the trauma registry under specified circumstances

R9-25-1309. Trauma Registry Data

Moves applicable requirements from Table 1 in Article 14 into the new R9-25-1309

[Updated requirements to be added after Workgroup meetings]

R9-25-1310. Trauma Registry Data Quality Assurance

Renumbers R9-25-1406 into this Section

Amends requirements to improve clarity and updates cross-references

~~R9-25-1315. Application Processing Time Periods~~

Moves applicable requirements into the new R9-25-1303, R9-25-1306, and R9-25-1307

~~Table 1. Application Processing Time Periods (in days)~~

Moves applicable requirements into the new R9-25-1303, R9-25-1306, and R9-25-1307

~~Exhibit I. Arizona Trauma Center Standards~~

Table 13.1. Arizona Trauma Center Standards

Updates and clarifies language

Adds definitions used only in the Table into the Key for the Table

Moves from the Table into the new R9-25-1308 items that are required for all levels of trauma centers

Moves from the Table into the new R9-25-1308 other items as are described under R9-25-1308

[Updated requirements to be added after Workgroup meetings]

[Requirements for a Level I or Level II pediatric designation may be added after Sept 29 meeting]

ARTICLE 14. TRAUMA REGISTRY; TRAUMA SYSTEM QUALITY ASSURANCE

~~R9-25-1401. Definitions~~

Moves applicable definitions into the revised R9-25-1301

~~R9-25-1402. Data Submission Requirements~~

Moves applicable requirements into the new R9-25-1308(H) and (J)

~~Table 1. Trauma Registry Data Set~~

Moves applicable requirements into the new R9-25-1309

~~R9-25-1403. Trauma System Data Reports; Requests for Trauma Registry Reports~~

Unnecessary; restates requirements in statute or states an internal Department process

~~R9-25-1405. Confidentiality and Retention of Trauma System Quality Assurance Data~~

Unnecessary; restates requirements in statute or states an internal Department process

~~R9-25-1406. Trauma Registry Data Quality Assurance~~

Renumbered into R9-25-1310 and amended